

**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 30, 2022**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Sanjay Dhoka, ~~Compliance Officer~~ Whole Time Director, CFO and COO of the company, have examined the following compliance requirement of **Ambit Finvest Private Limited** (Company) and certify that the Company has complied with the Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) while Company is in the process of maintaining data more appropriately through a proper system.

Sr. No	Compliance Requirement	Yes/ No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	Yes	The process of implementing and maintaining a Structured Digital Database in accordance with applicable laws, has been undertaken by the Company.
2.	Whether control exists as to who can access the SDD for read/ write alongwith the names and PAN of such person?	No	
3.	Whether all the UPSI have been captured in the Database. If not details of events that have not been captured and the reason for the same?	No	
4.	Whether the recipient were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	No	
5.	Whether nature of UPSI have been captured alongwith date and time?	No	
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	No	
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	No	
8.	Whether the database has been maintained internally?	No	
9.	Whether audit trail is maintained?	No	
10.	Whether time stamping is maintained?	No	
11.	Whether the database is non-tamperable?	No	
12.	Any other measures to ensure non-tamperability of the Database?	No	

For **Ambit Finvest Private Limited**

**Sanjay Dhoka**  
 Whole Time Director, CFO and COO  
 DIN: 00450023  
 Date: September 8, 2022  
 Place: Mumbai